

**NEW UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(only for new nonprovisional applications under  
37 CFR 1.53(b))

PTO/SB/05 (2/98) (modified)  
Approved for use through 9/30/2000, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

JCT135  
09/127117  
07/29/98

<p><b>NEW UTILITY PATENT APPLICATION TRANSMITTAL</b> (only for new nonprovisional applications under 37 CFR 1.53(b))</p>	Attorney Docket Number	3331 US
	First Named Inventor	Nikhil Balram
	Total Pages in this Submission	58**
	Express Mail Label No.	EL033379232US

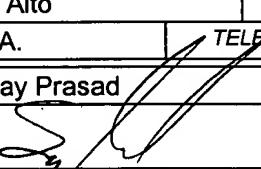
APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)  <input checked="" type="checkbox"/> Check Enclosed (\$790.00 filing fee)</p> <p>2. <input checked="" type="checkbox"/> Specification  <i>(preferred arrangement set forth below)</i>  <input type="checkbox"/> Descriptive Title of the Invention  <input type="checkbox"/> Cross Reference(s) to Related Case(s)  <input type="checkbox"/> Statement Regarding Fed sponsored R &amp; D  <input type="checkbox"/> Background of the Invention  <input type="checkbox"/> Brief Summary of the Invention  <input type="checkbox"/> Brief Description of the Drawing(s)  <input type="checkbox"/> Detailed Description  <input type="checkbox"/> Claim or Claims  <input type="checkbox"/> Abstract of the Disclosure</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (<i>when necessary per 35 USC 113</i>)</p> <p>4. Oath or Declaration  a. <input checked="" type="checkbox"/> New Declaration  <input checked="" type="checkbox"/> Executed  b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))  <i>(for continuation/divisional with Box 17 completed)</i>  i. <input type="checkbox"/> DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s)  named in the prior application, see 37 CFR  1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation by Reference (<i>useable if Box 4b is checked</i>). The entire disclosure of the prior application,  from which a copy of the oath or declaration is supplied  under Box 4b, is considered as being part of the disclosure  of the accompanying application and is hereby incorporated  by reference therein.</p>		<p>6. <input checked="" type="checkbox"/> Assignment &amp; PTO-1595</p> <p>7. <input type="checkbox"/> Certified Copy of Priority Document(s)  <i>(If foreign priority is claimed)</i></p> <p>8. <input checked="" type="checkbox"/> Information Disclosure Statement &amp; PTO-1449  <input checked="" type="checkbox"/> Copies of IDS Citation(s)</p> <p>9. <input type="checkbox"/> Preliminary Amendment</p> <p>10. Small Entity Statement  <input type="checkbox"/> New Statement enclosed  <input type="checkbox"/> Statement filed in prior application. Status still  proper and desired</p> <p>11. <input checked="" type="checkbox"/> Return Postcard</p> <p>12. <input type="checkbox"/> _____</p> <p>13. <input type="checkbox"/> _____</p> <p>14. <input type="checkbox"/> _____</p> <p>15. <input type="checkbox"/> _____</p> <p>16. <input type="checkbox"/> _____</p>
<p>**Total Pages' does not include cited references</p>		
<p><b>ADDRESS TO:</b>  Assistant Commissioner for Patents  Box Patent Application  Washington, D.C. 20231</p>		

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**

NAME	Sanjay Prasad Fenwick & West LLP				
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CITY	Palo Alto	STATE	CA	ZIP CODE	94306
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Name (Print/Type)	Sanjay Prasad			Registration No. (Attorney/Agent)	36,247
Signature				Date	7/29/98

0002/PTO(modified) U.S. Department of Commerce  
Rev. 10/95 Patent and Trademark Office

## **FEE TRANSMITTAL**

**TOTAL AMOUNT OF PAYMENT**

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$1874.00)**

## **Complete if Known**

Application Number	3331 US
Filing Date	Even Date Herewith
First Named Inventor	Nikhil Balram
Sup. Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	3331 US

**METHOD OF PAYMENT**

## **FEE CALCULATION (continued)**

**1. The Commissioner is hereby authorized to:**

- Charge the indicated fees to the below mentioned deposit account.
- Charge any additional fee required under 37 CFR 1.16 and 1.17 or credit any over payments to the below mentioned deposit account.<sup>1</sup>
- Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

**Deposit Account Number: 19-2555**  
**Deposit Account Name: FENWICK & WEST LLP**

**A Duplicate Copy of this authorization is attached**

2.  **Payment Enclosed:**  
[  ] Check [  ] Other

**FEE CALCULATION** (fees effective 10/01/97)

**1. FILING FEE**

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$790	201/\$395	Utility Filing	790
106/\$330	206/\$165	Design Filing	
108/\$790	208/\$395	Reissue Filing	
114/\$150	214/\$75	Provisional Filing	
<b>SUBTOTAL (1)</b>			<b>(\$790.00)</b>

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## 2. CLAIMS

<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee	Fee Description
<b>103/\$22</b>	<b>203/\$11</b>	Claims in excess of 20
<b>102/\$82</b>	<b>202/\$41</b>	Independent claims in excess of 3
<b>104/\$270</b>	<b>204/\$135</b>	Multiple dependent claim

### **3. ADDITIONAL FEES**

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	<input type="text"/>
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>
147/\$2,520	147/\$2,520	For filing a request for reexamination	<input type="text"/>
115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	<input type="text"/>
116/\$400	216/\$200	Extension for response within second month <sup>†</sup>	<input type="text"/>
117/\$950	217/\$475	Extension for response within third month <sup>†</sup>	<input type="text"/>
118/\$1,510	218/\$755	Extension for response within fourth month <sup>†</sup>	<input type="text"/>
128/\$2,060	228/\$1,030	Extension for response within fifth month <sup>†</sup>	<input type="text"/>
119/\$310	219/\$155	Notice of Appeal	<input type="text"/>
141/\$1,320	241/\$660	Petition to revive unintentionally abandoned application	<input type="text"/>
142/\$1,320	242/\$660	Utility Issue Fee (Or Reissue)	<input type="text"/>
143/\$450	243/\$225	Design Issue Fee	<input type="text"/>
122/\$130	122/\$130	Petitions to the Commissioner	<input type="text"/>
123/\$50	123/\$50	Petitions related to provisional applications	<input type="text"/>
126/\$240	126/\$240	Submission of Information Disclosure Statement	<input type="text"/>
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	<b>40</b> <input type="text"/>
146/\$790	246/\$395	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
149/\$790	249/\$395	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>
Other fee (specify):			<input type="text"/>
Other fee (specify):			<input type="text"/>
<b>SUBTOTAL (3)</b>			<b>(\$40.00)</b>

\* Subtract the greater number of Col. 2

**SUBTOTAL (2)** **(\$)**44.00

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name	Sanjay Prasad	Reg. Number	36,247
Signature		Date	7/29/98

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby